

# Direct Site-Specific and Highly Enantioselective $\gamma$ -Functionalization of Linear $\alpha,\beta$ -Unsaturated Ketones: Bifunctional Catalytic Strategy\*\*

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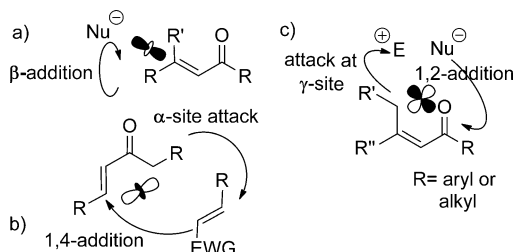
The direct asymmetric  $\gamma$  functionalization of simple unmodified carbonyl compounds has emerged as a powerful, distinct, and atom-economical method offering an efficient entry into functionalized building blocks having high levels of structural complexity.<sup>[1]</sup> Since the pioneering studies carried out by the groups of Jørgensen, Chen, Melchiorre, and others, different types of vinylogous processes initiated by dienamine intermediates have been studied extensively.<sup>[2]</sup> However, while there is an ever growing number of documents involving catalytic  $\gamma$ -selective activation of enals, the corresponding reaction embracing enones as  $\gamma$ -type nucleophiles remain comparatively rare. To date, the only example of enantioselective  $\gamma$  functionalization of cyclic enones has been reported by Melchiorre and co-workers who use cyclohexenone derivatives as nucleophilic substrates.<sup>[3]</sup> Nevertheless, for the  $\gamma$ -site-specific functionalization of linear  $\alpha,\beta$ -unsaturated ketones, which usually act as electrophiles in Michael additions (Figure 1a)<sup>[4]</sup> or prefer to selectively direct the reaction toward  $\alpha$  alkylation (Figure 1b),<sup>[5]</sup> still represents a longstanding challenge (Figure 1c).

Covalent activation facilitated by chiral amines provides a fascinating platform for stereocontrol in the functionaliza-

tion at the  $\gamma$ -position of the carbonyl group through dienamine-type intermediates,<sup>[2]</sup> or even at the  $\epsilon$ -position by trienamine catalysis.<sup>[6]</sup> However, as mentioned before, this strategy works well for HOMO-raising activation of  $\gamma$ - or  $\epsilon$ -type nucleophiles equipped with an aldehyde group.<sup>[2a-i,6a-f]</sup> In the case of enones, the covalent catalytic process was not efficient for such a transformation. More importantly, for the ketones bearing an  $\alpha'$  hydrogen, the regioselectivity problem must be solved, especially for linear enones.<sup>[5,7]</sup> Very recently, Chen and co-workers used trienamine catalysis to perform a highly efficient asymmetric Diels–Alder reaction with linear 2,4-dienones to give an array of useful cyclohexene products.<sup>[8]</sup> Still, a “logical and careful design of the dienones” was needed to facilitate the reaction,<sup>[9]</sup> as it requires an aryl group at the  $\alpha'$ -position to the carbonyl group to suppress reaction at the  $\alpha'$  site.

To this end, we envisioned the development of alternative catalytic strategies for the site-selective and stereocontrolled  $\gamma$  functionalization of linear enones.<sup>[10]</sup> We have recently reported an asymmetric vinylogous Michael addition of  $\alpha,\beta$ -unsaturated  $\gamma$ -butyrolactams and found that the magnesium is prone to activating the  $\gamma$  hydrogen of the carbonyl group (Figure 2a).<sup>[11]</sup> On this basis, we explored the viability of magnesium catalysis to direct  $\gamma$  deprotonation of linear  $\alpha,\beta$ -unsaturated ketones by introducing an appropriately placed base within the ligand to facilitate the  $\gamma$ -activation process (Figure 2b).

To test our hypothesis, we initially investigated a series of representative salen ligands, either bearing a base or not, in our model reaction. As illustrated in Table 1, the nature of the Brønsted base, such as a tertiary amine or even methoxy



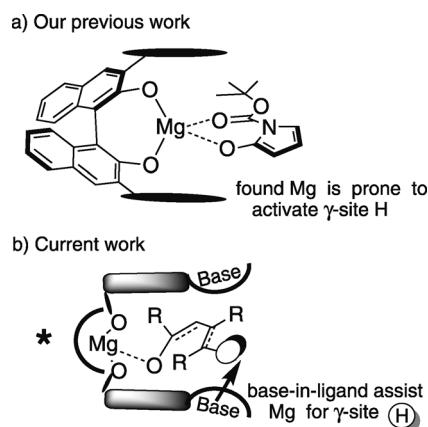
**Figure 1.** a,b) Previously reported strategies. c) Strategy for  $\gamma$  functionalization of linear enones proposed herein.

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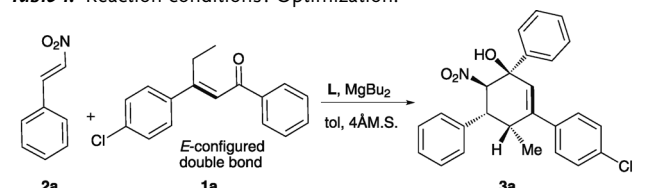
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**Figure 2.** a) Catalyst design reported by our group previously. b) New ligand design reported herein. A base is introduced to assist in the activation of linear enones.

**Table 1:** Reaction conditions: Optimization.



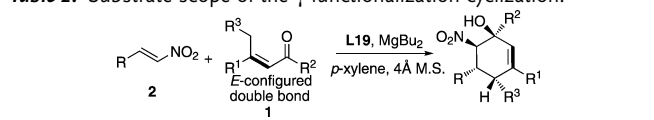
Entry <sup>[a]</sup>	Ligand	Yield <sup>[b]</sup>	d.r. <sup>[c]</sup>	ee <sup>[d]</sup>
1	<b>L1</b>	7	—	—
2	<b>L2</b>	< 5	—	—
3	<b>L3</b>	48	6:1	68
4	<b>L4</b>	52	11:1	85
5	<b>L5</b>	50	11:1	39
6	<b>L12</b>	53	10:1	82
7	<b>L15</b>	62	9:1	80
8	<b>L17</b>	63	9:1	88
9	<b>L19</b>	59	9:1	92
10 <sup>[e]</sup>	<b>L19</b>	64	9:1	98
11 <sup>[e,f]</sup>	<b>L19</b>	72	9:1	98

[a] Reactions were performed with 0.2 mmol of **2a** and 0.3 mmol of **1a** in toluene (2.0 mL) in the presence of **L** (20 mol%),  $\text{MgBu}_2$  (20 mol%), and molecular sieves (M.S.) at 60 °C for 24 h. [b] Yield of isolated product. [c] Diastereomeric ratios were determined by  $^1\text{H}$  NMR (300 MHz) spectroscopy. [d] Enantiomeric excesses of the major diastereomer were analyzed by HPLC analysis using a chiral stationary phase. [e] The reaction was carried out in *p*-xylene. [f] Use 0.4 mmol of **1a** (2.0 equiv).

group, introduced to a ligand was helpful for the  $\gamma$ -deprotonation process, probably through assistance from the base near the magnesium center of the catalyst (entries 3 and 4 versus 1 and 2). We turned our efforts to the screening and development of salen-type ligands having such an appended base.<sup>[12]</sup> This effort led to the identification of the bromine-substituted ligand **L19** as the optimal catalyst, and after optimization of the solvent,<sup>[13]</sup> the reaction in *p*-xylene afforded good diastereoselectivity (9:1) and high enantiomeric excess of 98% (entries 9 and 10).<sup>[14]</sup> The use of 2.0 equivalents of the ketone **1a** improved the product (**3a**) yield to 72% yield without loss in the enantioselectivity (entry 11).

Having established the optimized reaction conditions, we explored the scope of this new catalytic, stereoselective  $\gamma$ -

**Table 2:** Substrate scope of the  $\gamma$ -functionalization cyclization.<sup>[a,b,c,d]</sup>



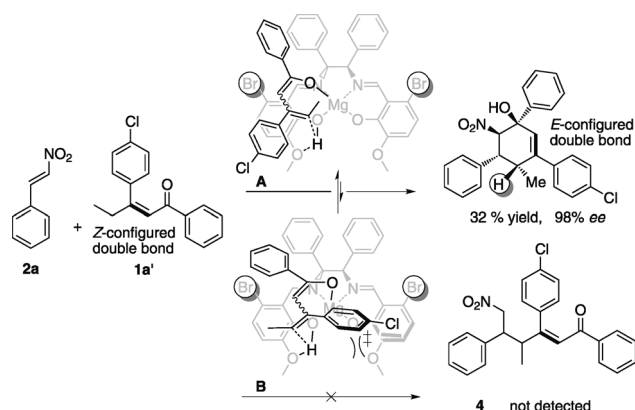
<b>3a</b> : 72 %, 9:1, 98 % ee <b>3b</b> : R=Me 70 %, 10:1, 97 % ee <b>3c</b> : R=F 73 %, 10:1, 95 % ee <b>3d</b> : R=Cl 69 %, 8:1, 98 % ee <b>3e</b> : R=Br 61 %, 8:1, 97 % ee <b>3f</b> : R=OMe 55 %, 12:1, 96 % ee	<b>3j</b> : 66 %, 8:1, 97 % ee <b>3k</b> : 50 %, 6:1, 97 % ee <b>3l</b> : 57 %, 6:1, 94 % ee <b>3m</b> : 53 %, 3:1, 96 % ee	<b>3n</b> : R=H 72 %, 10:1, 98 % ee <b>3o</b> : R=O-F 71 %, 10:1, 96 % ee <b>3p</b> : R=m-Me 75 %, 9:1, 98 % ee <b>3q</b> : R=p-OMe 64 %, 9:1, 99 % ee
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[a] Reactions were performed with 0.2 mmol of **2a** and 0.4 mmol of **1a** in *p*-xylene (2.0 mL) in the presence of **L19** (20 mol%),  $\text{MgBu}_2$  (20 mol%), and 4 Å molecular sieves (200 mg) at 60 °C for 24 h. [b] Yield of isolated product. [c] Diastereomeric ratios were determined by  $^1\text{H}$  NMR (300 MHz) spectroscopy. [d] Enantiomeric excesses of the major diastereomer were analyzed by HPLC analysis using a chiral stationary phase.

functionalization protocol. The representative results are listed in Table 2. Nitroalkenes bearing a variety of aryl groups with either electron-donating or electron-withdrawing substituents, or heteroaryl groups were well tolerated in this reaction, and the corresponding cyclization products were obtained with high *ee* values and moderate to good yields and diastereoselectivity (**3a–m**). However, aliphatic nitroalkenes could not undergo this transformation; the desired products were not detected under our standard reaction conditions. Furthermore, the linear  $\beta,\beta$ -disubstituted  $\alpha,\beta$ -unsaturated ketones **1** bearing different aryl groups at either the  $\beta$ - or  $\alpha'$ -positions were also compatible, thereby leading to the expected products (**3n–3t**). Notably, the presence of different substituents at the  $\gamma$ -position of the enones **2** were all tolerated, thus giving excellent *ee* values and moderate

yields (**3u**, **3v**, **3w**). We then turned our attention to substrates bearing both *Z*- $\gamma$ - and *E*- $\gamma'$ -hydrogen atoms to furnish the cyclization product **5** and the bicyclic compounds **6** and **7**. The benzocyclic ring systems **8** could also be obtained with excellent *ee* values and moderate yields. However, the ketones bearing different aliphatic substitutes at the  $\beta$  site and simple disubstituted enones were not tolerated under the present catalytic reaction and only resulted in complex mixtures.<sup>[15]</sup>

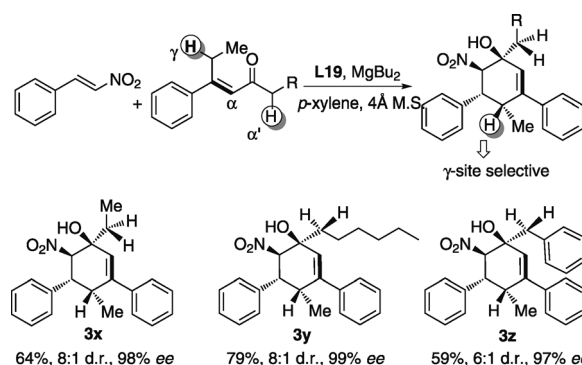
To gain insight into the activation mode of the  $\gamma$ -deprotonation-defining step of the reaction, we employed the (*Z*)- $\alpha,\beta$ -unsaturated ketone **1a'** under the standard, aforementioned reaction conditions (Scheme 1). We were surprised to observe that the cyclization product **3a** was also



**Scheme 1.** The cyclization reaction using the (*Z*)- $\alpha,\beta$ -unsaturated ketone **1a'**

formed with excellent *ee* value and the *Z*-configured double bond in **1a'** resulted in an *E*-configured double bond in **3a**. The product **4**, which maintains the *Z*-configured double bond was not observed. The result suggests that a dienol transition state should exist and the *Z*-configured double bond is transformed into an *E*-configured double bond during the  $\gamma$ -deprotonation process (**A**, Scheme 1). The dienol transition state might be more compatible with the catalysis structure, and a concerted reaction might also proceed smoothly through this favored configuration. Notably, the yield in this transformation was lower, probably owing to the isomerization of the double bond during  $\gamma$ -deprotonation process.

Finally and importantly, an experiment to probe site selectivity was carried out by using  $\beta,\beta$ -disubstituted  $\alpha,\beta$ -unsaturated linear ketones containing  $\alpha'$  hydrogen atoms (Scheme 2). We were pleased to find complete  $\gamma$  selectivity in preference to the traditional  $\alpha'$  selectivity under our catalytic system (Scheme 2; **3x**, **3y**, **3z**).<sup>[16]</sup> The highly efficient site selectivity was no doubt determined by the presence of the bifunctional base within the ligand for the magnesium catalysis: 1) Magnesium is a superior metal for activating the  $\gamma$  hydrogen atoms of carbonyl groups, 2) the methoxy group introduced into the ligand might act as a Brønsted base to assist in the  $\gamma$ -deprotonation process, and 3) compared to the  $\alpha'$  site, the  $\gamma$  site might be better positioned to capture the



**Scheme 2.** Site selectivity demonstration for substrates bearing both  $\alpha'$  and  $\gamma$  hydrogen atoms.

$\beta$  site of the electrophiles after complex formation with the metal center.

In summary, we have described the first examples of direct site- and enantioselective  $\gamma$  functionalization of linear  $\beta,\beta$ -disubstituted  $\alpha,\beta$ -unsaturated ketones, thus leading to a variety of optically active cyclohexene frameworks which are not easily accessible using other methodologies. Success of the activation mode, site-specific selectivity, and asymmetric induction relies on the bifunctional base within the ligand. The development of this strategy in other, still challenging  $\gamma$ -functionalization reactions is currently ongoing.

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- [13] See the Supporting Information for details of the reaction optimization with respect to the ligands and solvents.
- [14] See the Supporting Information for details of the X-ray analysis.
- [15] See the Supporting Information for some unsuccessful examples of the transformation.
- [16] The enone bearing  $\alpha'$ ,  $\gamma$ , and  $\gamma'$  hydrogen atoms could also generate the desired cyclization product with a lower *ee* value:

